UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/520,076	07/27/2005	Heinz Hornung	2732-157	2799
ROTHWELL, FIGG, ERNST & MANBECK, P.C. 1425 K STREET, N.W.			EXAMINER	
			HAGEMAN, MARK	
SUITE 800 WASHINGTON, DC 20005		ART UNIT	PAPER NUMBER	
		3653		
			NOTIFICATION DATE	DELIVERY MODE
			02/18/2009	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

PTO-PAT-Email@rfem.com

	Application No.	Applicant(s)				
Office Action Comments	10/520,076	HORNUNG ET AL.				
Office Action Summary	Examiner	Art Unit				
	Mark Hageman	3653				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
1)⊠ Responsive to communication(s) filed on <u>01 D</u>	ecember 2008					
,	·					
<i>i</i>	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
	closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims						
4)⊠ Claim(s) <u>1-12</u> is/are pending in the application	4) Claim(s) 1-12 is/are pending in the application.					
4a) Of the above claim(s) is/are withdraw	4a) Of the above claim(s) is/are withdrawn from consideration.					
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>1-12</u> is/are rejected.	·					
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and/o	r election requirement.					
Application Papers						
9)☐ The specification is objected to by the Examine	r.					
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:						
1. Certified copies of the priority document						
2. Certified copies of the priority documents have been received in Application No						
3. Copies of the certified copies of the priority documents have been received in this National Stage						
application from the International Bureau (PCT Rule 17.2(a)).						
* See the attached detailed Office action for a list of the certified copies not received.						
Attachment(c)						
Attachment(s)  1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)						
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  The view Sulfilliary (PTO-413)  Paper No(s)/Mail Date						
3) Information Disclosure Statement(s) (PTO/SB/08)  5) Notice of Informal Patent Application						
Paper No(s)/Mail Date 6) U Other:						

Application/Control Number: 10/520,076 Page 2

Art Unit: 3653

#### **DETAILED ACTION**

#### Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 10-29-2008 has been entered.

### Claim Rejections - 35 USC § 112

- 2. The following is a quotation of the second paragraph of 35 U.S.C. 112:
  - The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 3. Claim 12 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. Claim 12 is indefinite as it embraces both a process and an apparatus. See MPEP 2173.05(p). Also there is no specific structure recited so it is unclear what is actually required by the claim.

#### Claim Rejections - 35 USC § 101

4. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Application/Control Number: 10/520,076 Page 3

Art Unit: 3653

Claim 12 is rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. Claim 12 "is directed neither a process nor a machine but rather embraces or overlaps two different statutory classes of invention set forth in 35 U.S.C. 101 which is drafted to so as to set forth the statutory classes of invention in the alternative only. See MPEP 2173.05(p).

## Claim Rejections - 35 USC § 103

- 5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-4 and 6-12 rejected under 35 U.S.C. 103(a) as being unpatentable over EP 0779604 to Baudat in view of US 6,913,260 to Maier. Baudat discloses a method for and machine (figure 1) capable of selecting at least one bank note fit for circulation, selecting at least one bank note unfit for circulation (c12 lines 13+), processing the selected bank notes by means of the bank note processing machine (c12 lines 13+), whereby data of at least one sensor are stored (c12 lines 50+); and defining at least one threshold value for the at least one sensor by evaluating the stored data of the at least one sensor (13 lines 10+). Baudat also discloses the definition of the at least one threshold value is effected by determining the threshold value (c11 lines 36+), the definition of the at least one threshold value is effected by selecting the threshold value from a multitude of given threshold values (c13 lines 10+), bank notes fit for circulation

Application/Control Number: 10/520,076

Art Unit: 3653

and those unfit for circulation are processed separately or jointly (c12 lines 15+), that at least one of the rate of the bank notes fit for circulation or the rate of those unfit for circulation is specified (c12 lines 38+), the method is carried out for each kind of bank notes (c12 lines 15+), at least one further threshold value is defined for at least one of bank notes fit for circulation or those unfit for circulation (c11 lines 37+), the at least one threshold value is formed by a discriminant function (c12 lines 36+). Baudat does not disclose the selection of the fit bank note is based at least in part on the lack of soil, damage, or alien elements and the at least one further threshold value is defined for bank notes that are suitable for automatic cash dispensers. Maier discloses, and it is well known in the art, the selection of the fit bank note is based at least in part on the lack of soil, damage, or alien elements and the at least one further threshold value is defined for bank notes that are suitable for automatic cash dispensers (c2 lines 5+) in order to remove old bills from circulation (c1 lines 31+).

Page 4

It would have been obvious to one of ordinary skill in the art at the time of applicants' invention to have modified Baudat to include, the selection of the fit bank note is based at least in part on the lack of soil, damage, or alien elements and the at least one further threshold value is defined for bank notes that are suitable for automatic cash dispensers, as taught by Maier and well known in the art, in order to remove old bills from circulation.

6. Claim 5 is rejected under 35 U.S.C. 103(a) as being unpatentable over Baudat in view of Maier as applied to claims 1-4 and 6-12 above, and further in view of US

Page 5

7,044,463 to Brotherston. Baudat in view of Maier discloses all the claim limitations except bank notes fit for circulation and those unfit for circulation are separated from each other by means of a separation card, the separation card being recognized during processing. Brotherston teaches that it is "usual to use a separator document to mark the beginning and end of a batch" in the context of sorting currency (c1 lines 10).

It would have been obvious to one of ordinary skill in the art at the time of applicants' invention to have modified Baudat in view of Maier to include the use of a separator card, as taught by Brotherston," to mark the beginning and end of a batch."

# Response to Arguments

7. Applicant's arguments with respect to claims 1-12 have been considered but are moot in view of the new ground(s) of rejection.

#### Conclusion

8. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mark Hageman whose telephone number is (571) 272-3027. The examiner can normally be reached on M-F 7:30-4:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Patrick Mackey can be reached on (571) 272-6916. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 10/520,076 Page 6

Art Unit: 3653

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Patrick H. Mackey/ Supervisory Patent Examiner, Art Unit 3653

**MCH**